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## Insights into the Optoelectronic and Thermoelectric Properties of Lead-Free $\text{Rb}_2\text{NaInF}_6$ Double Perovskite Compound: A First-Principles Study

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### Abstract

This study investigated the structural, electronic, elastic, mechanical, thermodynamic, optical, and thermoelectric properties of the  $\text{Rb}_2\text{NaInF}_6$  lead-free double perovskite compound using first-principles methods. The structural stability of the perovskite was confirmed by the Goldschmidt tolerance and octahedral factors. Dynamic stability was confirmed through the negative energy of formation and positive frequency modes of the phonon dispersion curve. The dynamic stability results suggest that the studied compound could be potentially synthesised experimentally. The  $\text{Rb}_2\text{NaInF}_6$  compound is a direct semiconductor with electronic band gaps within the range of 2.14-3.76 eV, computed using different approximations. The mechanical stability was confirmed by the elastic calculation results. The  $\text{Rb}_2\text{NaInF}_6$  compound was found to be ductile, ionic, and anisotropic. The optical properties showed that  $\text{Rb}_2\text{NaInF}_6$  strongly absorbs light in the ultraviolet region, which is desirable for ultraviolet-photoconductive materials in optoelectronic devices. The computed thermoelectric figure of merit of the  $\text{Rb}_2\text{NaInF}_6$  compound is 0.01 at 1000 K, suggesting high thermoelectric efficiency. These findings demonstrate the potential of  $\text{Rb}_2\text{NaInF}_6$  lead-free double perovskite compound for optoelectronic and thermoelectric applications. Therefore, our investigation offers theoretical insights that can lead to the experimental synthesis and study of  $\text{Rb}_2\text{NaInF}_6$  lead-free double perovskites.

**Keywords:** DFT, GGA, double perovskites, optoelectronics, thermoelectric applications

## 1. Introduction

Researchers have been striving to develop efficient and cost-effective materials for harvesting solar radiation and converting it to electrical energy. Perovskites are among the most extensively investigated materials for optoelectronic and thermoelectric applications. These compounds are well known for their excellent properties, including tunable electronic band gaps, structural stability, and high absorption coefficients [1]. Their versatile properties have enabled their applications in various fields, including solar cells, photocatalysts, refrigeration, reflective coatings, water splitting, smart windows, spintronics, and thermoelectric power devices [2–10]. Lead halide perovskite solar cells have shown remarkable progress in recent years, reaching efficiencies exceeding 25% [11]. However, these high-performing materials face various challenges, such as the toxicity of lead compounds, which pose significant risks to human health and the environment, and their instability when exposed to moisture and prolonged sunlight [12]. These challenges limit their large-scale industrial applications and commercial viability. Therefore, there is an urgent need to address these challenges to enhance the development and application of these materials.

All inorganic double perovskites with the chemical formula  $A_2B_2X_6$  have emerged as promising alternatives to lead-based systems [12]. In these structures, A typically represents a monovalent cation, B is a monovalent metal cation, B' is a trivalent metal cation, and X is an oxygen/halogen anion [12]. The physical properties of these lead-free double perovskites can be tuned by varying their A, B, B', and X compositions [13]. Numerous theoretical and experimental studies have reported different lead-free double perovskite element compositions for diverse applications.

For instance, Azzam et al. [13] investigated the properties of  $X_2B_2As_2$  ( $X = K, Cs$ ) lead-free double perovskites for thermoelectric and optoelectronic applications by using first-principles methods. Their investigation showed that the compounds are semiconductors with wide indirect band gaps of 2.12 eV and 2.14 eV for  $Cs_2RbAs_2$  and  $K_2RbAs_2$ , respectively. The calculations of the optical properties demonstrated strong absorption in the visible region, while the thermoelectric properties revealed a high figure of merit (ZT) exceeding 0.7, suggesting their potential utility in optoelectronics and thermoelectrics. The properties of  $A_2NaMoCl_6$  ( $A = Cs, Rb$ ) were computed using density functional theory (DFT) [14]. The potential for their utility was confirmed by the negative formation energy. The optical properties revealed significant absorption and low reflectivity in the ultraviolet-visible range, while their ZT exceeded 0.8, indicating their possible applications in thermoelectric and optoelectronic fields. Another study by Qi et al. [15] investigated the photoelectric properties of  $Cs_2TlBX_6$  ( $X = Cl, Br, I$ ) using DFT. They found that the compounds displayed excellent structural, mechanical, and thermal stability. Furthermore, they reported high absorption coefficients of  $10^4 \text{ cm}^{-1}$ , which are suitable for photovoltaic applications. A high value of 25.93% for the spectroscopic limited maximum efficiency was obtained for  $Cs_2TlBr_6$ . Tang et al., studied the properties of the  $Cs_2InCoK_4(X = F, Cl, Br)$  perovskite compounds using ab-initio methods [16]. These compounds were found to have direct band gaps of 3.002, 1.129, and 0.865 eV, respectively, and a suitable absorption profile. The  $Cs_2InCoCl_6$  compound was found to be the best suited

for solar cell applications. The properties of the arsenic-based double perovskite compounds  $A_2AgAsX_6$  ( $A=K, Rb, Cs$ ;  $X=Cl, Br, I$ ) [17] have been reported using first-principles methods. Among the materials,  $K_2AgAsBr_6$  was found to have electronic and optical properties best fit for photovoltaic and photocatalytic applications.

Further theoretical studies have been reported for other element combinations, including  $Rb_2YCuX_6$  ( $X=Br, I$ ) [18],  $Rb_2CuMF_6$  ( $M=As^{3+}, Bi^{3+}$ ) [19],  $Oy_2NMnO_6$  [20],  $Rb_2CuSbZ_6$  ( $Z=F, Br, I$ ) [21],  $K_2CuSbBr_6$  [22],  $Na_2LiZ_2F_6$  ( $Z=Ir$  and  $Rh$ ) [23],  $Cs_3AgMX_6$  ( $M=Co, Rh, Ir$ ;  $X=Cl, Br, I$ ) [24],  $Rb_3InSbX_6$  ( $X=F, Cl, Br, I$ ) [25],  $A_2CuSbX_6$  ( $A=Cs, Rb, K$ ;  $X=Cl, Br, I$ ) [26],  $Cs_3NaBC_6$  ( $B=In, Cr, Y, Tb$ ) [27], and  $Na_2CuMCl_6$  ( $M=Bi, Sb$ ) [28], among others. In addition to theoretical investigations, experimental studies have been conducted on inorganic lead-free double perovskites. A stable  $Cs_3AgSbBr_6$  double perovskite material with a power conversion efficiency of 6.37% has been experimentally reported through the hydrogenation method [29]. This represents the highest efficiency reported for any inorganic lead-free double perovskite, which significantly lags behind lead-based perovskites. Therefore, further investigations on lead-free double perovskites are required to bridge this efficiency gap.

Moreover, although previous studies have extensively explored Cl-, Br-, and I-based double perovskites with different elemental combinations, fluoride-based double perovskites with iodium have not been extensively explored. Iodine and fluoro-based compounds have been established to possess stability advantages in the literature [30,31]. This motivated us to investigate the structural, phonon, electronic, elastic, mechanical, thermodynamic, optical, and thermoelectric properties of the novel iodine-fluoride-based double perovskite compound  $Rb_3NaIrF_6$  using DFT. We employed generalised gradient approximation (GGA) and meta-GGA approximations to provide a comprehensive understanding of the properties of this material and its potential for optoelectronic and thermoelectric applications.

## 2. Computational Methods

The properties of the  $Rb_3NaIrF_6$  lead-free double perovskite compound were computed using a plane wave self-consistent field (Pwscf) basis within density functional theory (DFT) [32] as implemented in the Quantum Espresso (QE) package [33]. The crystallographic information file (CIF) of the studied compound was downloaded from the Materials Project database [34], and its SCF input file was generated using a Quantum Espresso input generator [35]. Scalar relativistic ultrasoft pseudopotentials (USPP) [36] were used in this investigation to compute all the properties, apart from the optical properties, which were computed using norm-conserving pseudopotentials [37]. The generalised gradient approximation (GGA) using Perdew-Burke-Ernzerhof (PBE) [38] and Wu-Cohen (WC) [39] functionals to treat the electron-ion interactions were employed in this study. The GGA tends to underestimate the electronic band gaps of materials being investigated [40,41]. Therefore, the meta-GGA functionals rectored-regulated strongly constrained and appropriately normed (r2SCAN) and Tran-Blaha-modified Becke-Johnson (TB-mBJ) [42] were employed to improve accuracy. Other than DFT, the electronic band gaps were further predicted using the Allow machine learning online tool (AFLOW-ML)

accessed at [www.afloqib.org](http://www.afloqib.org). A converged cutoff energy of 150 Rydberg and a Monkhorst-Pack sampling scheme with a  $k$ -point grid optimised at  $9 \times 9 \times 9$  with a 1 offset in the first Brillouin zone (BZ) were used for the calculations. The non-self-consistent field (NSCF) calculations were performed using a denser  $k$ -point mesh of  $12 \times 12 \times 12$ . Variable cell relaxation and geometry optimisation of the total energy versus the lattice parameters were conducted using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm, with convergence thresholds of  $10^{-4}$  Ry and  $10^{-4}$  Ry/Born for energy and forces, respectively. The Semi-classical Boltzmann transport theory, which is implemented in the BoltzTraP code [43], was used to compute the thermoelectric properties. BoltzTraP code incorporates the Quantum Espresso output files as input files under the constant relaxation time approximation. A dense  $k$ -point mesh of dimensions  $15 \times 15 \times 15$  was used to accurately compute the thermoelectric properties. Visualisation of the crystal structure and plotting of the computed and calculated data were performed using VESTA, gnuplot, and Python software.

### 3. Results and Discussion

#### 3.1 Structural Properties and Stability

The  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound crystallises in a cubic crystal system, space group ( $Fm\bar{3}m$  (225) [34]. The Rb, Na, Ir and F elements are located at  $(1/4, 3/4, 3/4)$ ,  $(1/2, 0, 0)$ ,  $(0, 0, 0)$  and  $(1/2, 0, 0.734992)$  coordinates, respectively, with the corresponding Wyckoff sites at 8c, 4b, 4a, and 24e. The crystal structure features bond lengths of 3.07 Å for Rb-F, 2.30 Å for Na-F, and 2.04 Å for Ir-F, respectively. The cubic crystal structure of the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound is shown in Fig. 1.

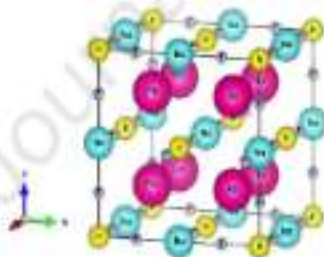


Fig. 1. Crystal structure of the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound.

Geometry optimisation is performed by computing the total energy as a function of volume and fitting the values to the Birch-Murnaghan equation of state (equation (7)) [44] to obtain the optimised ground-state structural parameters.

$$E(V) = E_0 + \frac{B}{2} \left[ \left( \frac{V}{V_0} \right)^{\frac{2}{3}} - V_0 \right] + \frac{B}{6} (V - V_0) \quad (7)$$

Where  $E_0$ ,  $B$ ,  $B'$ ,  $V$ , and  $V_0$  refer to the minimum total energy, bulk modulus, first pressure derivative of the bulk modulus, total unit cell volume, and ground state unit cell volume, respectively.

Fig. 2 depicts the Birch-Murnaghan equation of state fit plot of the total energy versus volumes of the  $Rb_2NaIrF_6$  lead-free double perovskite compound. The obtained ground-state total minimum energies, lattice parameters, and volumes are listed in Table 1. The optimised lattice parameter and volume are obtained as 16.45 Å and 4451.54 Å<sup>3</sup>, which are consistent with the values reported in the Materials Project database [45]. This demonstrates the accuracy of our calculations for predicting the structural properties. There is no direct experimental work on the synthesis of  $Rb_2NaIrF_6$  lead-free double perovskite compound for comparison and validation of its structural properties; hence, there is a need for future experimental work to confirm the obtained theoretical predictions.

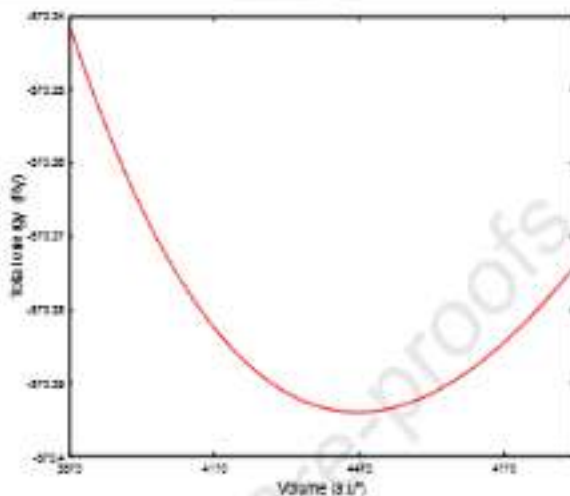


Fig. 2. Birch-Murnaghan equation of state fit plots of the  $Rb_2NaIrF_6$  lead-free double perovskite compound.

Table 1. Structural properties of  $Rb_2NaIrF_6$  lead-free double perovskite compound.

	GGA-PBE	Other works [45]
Equilibrium Energy $E_0$ (Ry)	-570.39	
Lattice parameter $a_0$ (Å)	16.45	16.44
Equilibrium volume $V_0$ (Å <sup>3</sup> )	4451.54	4435.15
$B_0$	0.99	
$\mu$	0.64	

Energy of formation  $\Delta H_f$  (Ry)     -1.67

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The Goldschmidt tolerance factor ( $t_G$ ) and octahedral factor ( $\mu$ ) were used to establish the structural stability of the perovskite material [46]. These are given by equations (2) and (3) [46].

$$t_G = \frac{r_A + r_B}{\sqrt{2}(r_B + r_X)} \quad (2)$$

$$\mu = \frac{r_B}{r_X} \quad (3)$$

Where  $r_A$ ,  $r_B$ , and  $r_X$  are the Shannon ionic radii of the A and B cations and the X anions, respectively. For double perovskites,  $r_B$  is the average ionic radius of the B and B' cations. A structure is termed a stable cubic perovskite structure if the Goldschmidt tolerance factor limit is within  $0.8 < t < 1$  and the octahedral factor  $\mu > 0.425$  [47]. As presented in Table 1, the obtained Goldschmidt tolerance and the octahedral factors for the  $\text{Rb}_2\text{NaIrF}_6$  crystal structure are 0.99 and 0.64, respectively, suggesting structural stability. The energy of formation ( $\Delta H_f$ ) of a compound is the amount of energy released or absorbed when the compound is created from individual elements [48]. The thermodynamic stability was demonstrated by calculating the  $\Delta H_f$  from equation (4) [48].

$$\Delta H_f = E_{\text{double perovskite}} - (2E_{\text{Rb}} + E_{\text{Na}} + E_{\text{Ir}} + 6E_{\text{F}}) \quad (4)$$

$E_{\text{double perovskite}}$  refers to the total energy of the  $\text{Rb}_2\text{NaIrF}_6$  compound, whereas  $E_{\text{Rb}}$ ,  $E_{\text{Na}}$ ,  $E_{\text{Ir}}$ , and  $E_{\text{F}}$  are the total energies of the individual Rb, Na, Ir, and F atoms, respectively.

The  $\Delta H_f$  value was calculated to be -1.67, as presented in Table 1. The negative  $\Delta H_f$  implies that the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound is thermodynamically stable and can be experimentally synthesized [49]. The phonon properties are significant in determining the dynamic stability of materials. Fig. 3 shows the obtained phonon dispersion curve of the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound. It was observed that the phonon scattering frequencies were above zero, and no imaginary frequency modes were observed. This indicates the dynamic stability of the studied compound; hence, it can be potentially synthesized experimentally.

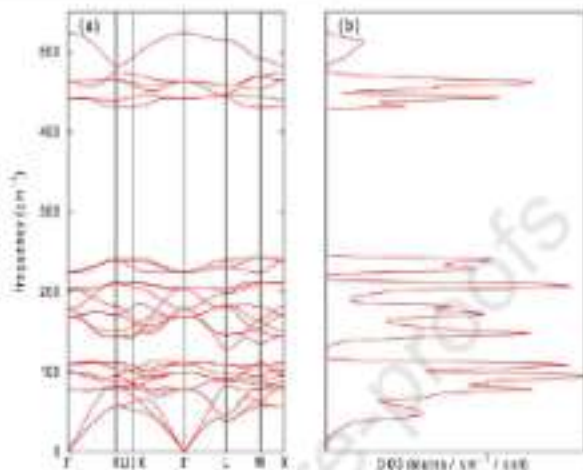


Fig. 3. GGA-PBE (a) phonon dispersion and (b) density of states curves of  $\text{Pb}_2\text{SnTe}$ , lead-free double perovskite compound.

### 3.2 Electronic Properties

The electronic properties of a material are crucial for determining its potential application in devices. Fig. 4 depicts the electronic band structure and projected density of states of the material under study, utilizing the GGA-PBE approximation as a representative GGA method. The separation gap between the valence and conduction bands suggested a semiconducting nature. The valence band maxima and conduction band minima are located at the same symmetry point (X-X) of the first Brillouin zone, implying that the compound under study is a direct band gap semiconductor. The band gaps obtained using GGA-PBE and GGA-WC approximations are 2.20 and 2.14 eV. These wide band gaps correspond to the UV absorption, implying that the material can be used as a UV light absorber in optoelectronic device applications. From the projected density of states results, the formation of the valence band was found to be a result of the hybridisation of the Ir3d, Ir2p, and F2p orbitals. In contrast, the formation of the conduction band was found to be a result of the hybridisation of the Rb2s, Rb4p, Na2s, Ir1s, Ir2p, Ir3d, and F2p orbitals. Since the GGA approximation tends to underestimate the electronic band gaps of materials [40,41], the meta-GGA approximations were used to improve the accuracy of the GGA band gaps. Fig. 5 shows the TB-mBJ band structure and density of states as a representative. Table 2 shows that the band gaps improved to 2.76 and 2.76 eV using R2SCAN and TB-mBJ approximations. In addition to DFT, the ML

technique was utilized to predict the band gap, which was obtained as 2.33 eV. This close band gap to the GGA band gaps indicates the accuracy of our DFT methods in predicting electronic band gaps. The reported GGA band gaps agree with the band gap (2.40 eV) reported in the Materials Project Database.

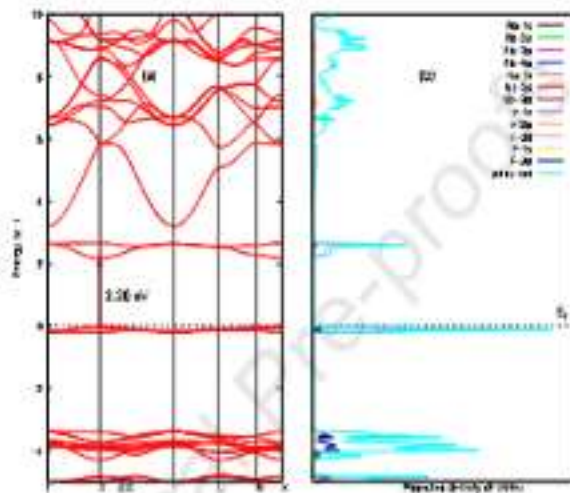


Fig. 4. The GGA-PBE (a) electronic band structure and (b) projected density of states of Rb<sub>2</sub>NaIrF<sub>6</sub> lead-free double perovskite compound.

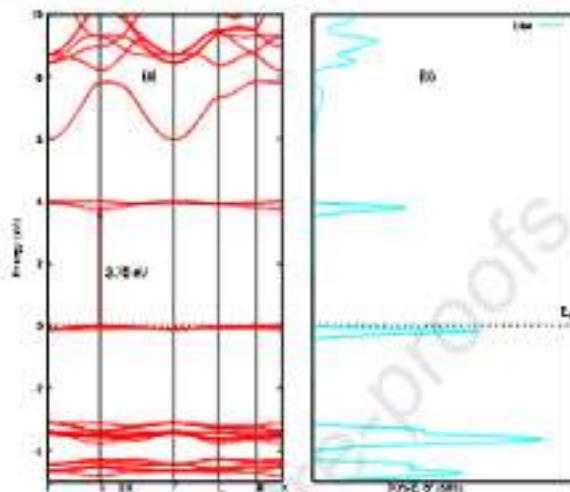


Fig. 5. The TB-rBj (a) electronic band structure and (b) density of states of the  $\text{Rb}_2\text{NiAlF}_6$  lead-free double perovskite compound.

Table 2. The electronic band gaps of the  $\text{Rb}_2\text{NiAlF}_6$  lead-free double perovskite compound calculated using different approximations.

Methods	Band gaps (eV)
GGA-PBE	2.20
GGA-WC	2.14
R2SCAN	2.78
TB-rBj	3.76

ML	2.33
Other works [34]	2.40

### 3.3 Elastic and Mechanical Properties

The  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound adopts a cubic crystal system with the  $Fm\bar{3}m$  [225] space group. The cubic crystal system features three independent elastic constants:  $C_{11}$ ,  $C_{12}$ , and  $C_{44}$  [50]. The elastic stability criteria for the cubic crystal system are given by equation (5) [50].

$$C_{11} - C_{12} > 0, C_{11} + 2C_{12} > 0, C_{44} > 0 \quad (5)$$

The elastic constants for the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound presented in Table 3 conform to equation (5), suggesting mechanical stability. The mechanical properties of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound, including the bulk modulus  $B$ , Young's modulus  $E$ , Shear modulus  $G$ , and Poisson's ratio  $\nu$ , were deduced from the elastic constants according to the Voigt-Reuss-Hill approximation, as presented in Table 4. The ability of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound to resist volume deformation, uniaxial tension, and plastic deformation is described by  $B$ ,  $E$ , and  $G$ , respectively [51]. The studied compound portrays the behaviour of a soft and less stiff material due to its low  $B$ ,  $G$ , and  $E$  values. Pugh's and Poisson's ratios ( $B/G$  and  $\nu$ ) describe the ductile/brittle behaviour of the materials. A material is termed ductile if  $B/G > 1.75$ ; otherwise, it is brittle [52]. Furthermore, an  $\nu > 0.25$  suggests ductile character; otherwise, it is brittle [52]. As observed in Table 4, the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound exhibited ductile behaviour. The Poisson's ratio can also describe the nature of bonding within materials. A value of  $\nu < 0.25$  implies an ionic nature [53]. Based on this criterion, the studied compound was determined to be an ionic crystal.

Table 3. Elastic tensor ( $C_{ij}$ ) in GPa for the  $\text{Rb}_2\text{NaIrF}_6$  cubic crystal structure.

	$C_{11}$	$C_{12}$	$C_{44}$
GGA-PBE	11.36	21.57	15.95

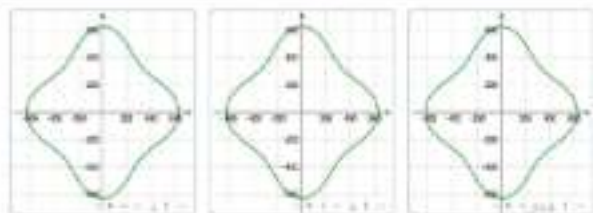
**Table 4.** Computed mechanical properties: bulk modulus B, Young's modulus E, Shear modulus G, Pugh's ratio B/G, Poisson's ratio  $\nu$ , Anisotropy factor A, Debye velocity  $V_m$ , and Debye temperature  $\theta_D$  of  $\text{Rb}_2\text{NaNiF}_6$  lead-free double perovskite compound.

	B	E	G	B/G	$\nu$	A	$V_m(\text{m/s})$	$\theta_D(\text{K})$
GGA-PBE	38.43	45.97	19.02	2.02	0.28	0.65	2158.45	252.47

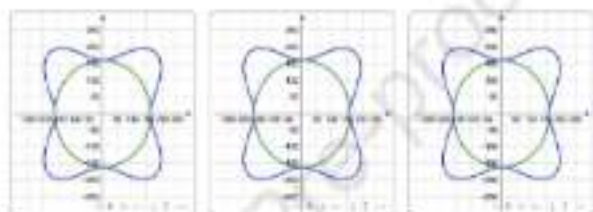
The anisotropy factor measures the degree of anisotropy in a material. The anisotropy factor is expressed in the form of equation (5) [54].

$$A = \frac{2G}{E_{11} - E_{33}} \quad (5)$$

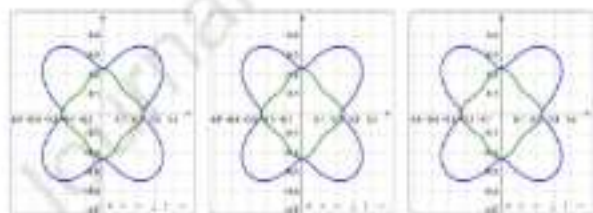
When the A value is 1, the material under investigation is considered isotropic, whereas a deviation from this value indicates the degree of anisotropy in the material [55]. The calculated A value of 0.65 indicates that the  $\text{Rb}_2\text{NaNiF}_6$  lead-free double perovskite compound is highly anisotropic. Furthermore, the mechanical anisotropic behaviour of the  $\text{Rb}_2\text{NaNiF}_6$  lead-free double perovskite compound was studied from the computed elastic constants using the ELATE: Elastic matrix tensor analysis [56] software. The 2D spatial dependence maps of Young's modulus, Shear modulus, and Poisson's ratio in the xy, xz, and yz planes are shown in Fig. 5(a-c). The coloured lines in the spatial dependence maps represent the measure of anisotropy. The degree of the curvature of the coloured lines indicates the magnitude of anisotropy [49]. The degree of the anisotropy increases with the curvature of the coloured lines towards the centre of the disc and decreases with the curvature of the coloured lines towards forming a complete circle [49]. Based on the spatial dependence maps in Fig. 5, the Shear modulus and Poisson's ratio maps in the xy, xz, and yz planes showed high anisotropy, corroborating the anisotropy factor value.



(a) Young's modulus in  $xy$  Young's modulus in  $xz$  Young's modulus in  $yz$



(b) Shear modulus in  $xy$  Shear modulus in  $xz$  Shear modulus in  $yz$



(c) Poisson's Ratio in  $xy$  Poisson's Ratio in  $xz$  Poisson's Ratio in  $yz$

**Fig. 6.** Two-dimensional spatial dependence maps of (a) Young's modulus, (b) shear modulus, and (c) Poisson's ratio of the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound.

The Debye temperature ( $\theta_D$ ) is a thermodynamic property that provides insights into the atomic vibration patterns in a crystal [46] and is expressed in the form of equation (7) [57].

$$\theta_D = \frac{h}{k_B} \left( \frac{12\pi^2}{V} \right)^{1/3} v_m \quad (7)$$

Where  $h$ ,  $k$ ,  $n$ ,  $N_A$ ,  $\rho$ , and  $M$  refer to Planck's constant, Boltzmann's constant, the number of atoms, Avogadro's constant, charge density, and molecular weight, respectively. In this study,  $\theta_D$  was obtained as 252.47 K. The Debye temperature is related to other thermodynamic properties, including the vibrational energy, vibrational free energy, entropy, and heat capacity, as discussed in the next section.

### 3.4 Thermodynamic Properties

The changes in the Debye vibrational energy, vibrational free energy, entropy, and heat capacity with temperature are shown in Fig. 7(a-d). The vibrational energy of the system increased linearly with the temperature (Fig. 7(a)). In contrast, the vibrational free energy follows the fitting algorithm for exponential decay, as shown in Fig. 7(b). The vibrational free energy curve further indicates that the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound is thermodynamically stable between 0 and 200 K with positive values. Entropy is a physical parameter that describes the degree of disorder in a material [58]. Fig. 7(c) shows that the entropy curve increases exponentially as the temperature increases. This is because an increase in temperature increases the thermal motion of the molecules within the material, which increases the degree of disorder of the molecules [58]. The highest entropy value of 610 K was achieved for the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound at 800 K. The heat capacity describes a material's ability to store heat [59], and it constitutes contributions from both lattice vibrations and electron motion. Fig. 7(d) shows that the heat capacity of  $\text{Rb}_2\text{NaIrF}_6$  sharply increased at 0 – 200 K, which is consistent with the Debye model ( $C_v \propto T^3$ ) law [58]. For temperatures up to 500 K, most of the low-energy vibration modes were thermally excited. Beyond 500 K, the heat capacity plateaus, and a further temperature rise does not result in a significant change, which conforms to the Dulong-Petit law for all solids [59].

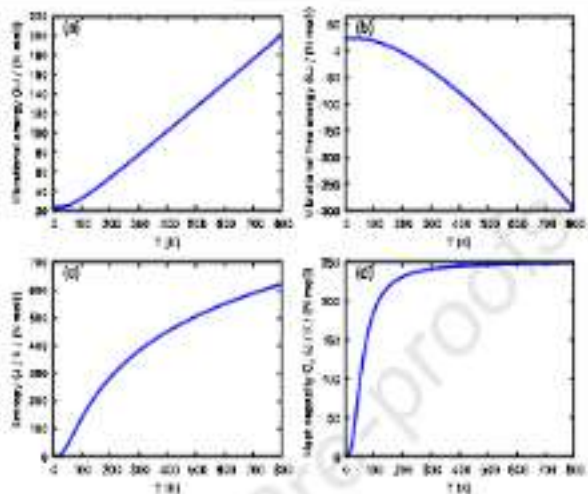


Fig. 7. Thermodynamic properties of Rb<sub>2</sub>NaIrF<sub>6</sub> lead-free double perovskite compound: (a) vibrational energy, (b) vibrational free energy, (c) entropy, and (d) heat capacity as a function of temperature.

### 3.5 Optical Properties

The optical characterisation of materials is desirable to establish their viability for optoelectronic applications. The electron response in a material is characterised by a complex dielectric wave function [60, 61], which is represented by equation (8).

$$\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) \quad (8)$$

Here,  $\epsilon_1(\omega)$  and  $\epsilon_2(\omega)$  refer to the real and imaginary parts of the complex dielectric wave function, respectively. Other optical properties, such as the absorption coefficient  $\alpha(\omega)$ , refractive index  $n(\omega)$ , extinction coefficient  $K(\omega)$ , reflectivity  $R(\omega)$ , and energy loss function  $L(\omega)$ , were computed using equations (9) to (13) [62–63].

$$\alpha(\omega) = \sqrt{2}\omega \left( \sqrt{\epsilon_1^2(\omega) + \epsilon_2^2(\omega)} - \epsilon_1(\omega) \right)^{1/2} \quad (9)$$

$$n(\omega) = \left( \frac{\epsilon_1(\omega) + \sqrt{\epsilon_1(\omega)^2 + \epsilon_2(\omega)^2}}{2} \right)^{1/2} \quad (10)$$

$$k(\omega) = \left( \frac{-\epsilon_1(\omega) + \sqrt{\epsilon_1(\omega)^2 + \epsilon_2(\omega)^2}}{2} \right)^{1/2} \quad (11)$$

$$L(\omega) = \frac{\epsilon_2(\omega)}{\epsilon_1(\omega) + \epsilon_2(\omega)} \quad (12)$$

$$R(\omega) = \frac{\epsilon_2(\omega)^2 + \epsilon_1(\omega)^4}{(\epsilon_1(\omega)^2 + \epsilon_2(\omega)^2)^2} \quad (13)$$

The optical properties of  $\text{Rb}_2\text{NaIrF}_6$  were computed across a photon energy range of 0-15 eV, as shown in Fig. 8(a-d). The real part of the dielectric constant describes the electron polarisation within a material [56]. The  $\epsilon_1(\omega)$  in Fig. 8(a) starts at approximately 2.92 at 0 eV and exhibits a gradual increase with energy, reaching a peak around 6-7 eV. Thereafter,  $\epsilon_1(\omega)$  decreases and attains negative values between 7.6-8.1 eV, symbolising the attenuation of the propagation of photons within the  $\text{Rb}_2\text{NaIrF}_6$  [46]. This negative zone signifies a transition from dielectric to metallic character [46]. Finally, the  $\epsilon_1(\omega)$  increases with increasing energy, suggesting a restoration of dielectric character. The  $\epsilon_2(\omega)$  describes the material's ability to dissipate energy [57]. The visible peaks of the  $\epsilon_2(\omega)$  in Fig. 8(a) indicate an ultraviolet interband transition from the valence to the conduction band. The  $\epsilon_2(\omega)$  curve remains low near zero at low energies, but increases significantly beyond 2 eV, indicating the onset of optical absorption due to direct electronic transitions. This onset corresponds to the electronic band gap value obtained using the GGA-PBE approximation. A sharp peak in  $\epsilon_2(\omega)$  was observed near 6-8 eV, suggesting a strong absorption in this energy region.

The  $n(\omega)$  describes the transparency of a material [47]. Fig. 8(b) shows that the static refractive index  $n(0)$  of  $\text{Rb}_2\text{NaIrF}_6$  is 1.71. The  $n(\omega)$  curve starts at  $n(0)$ , it increases with increasing photon energy, attaining a maximum of 2.91 at 7 eV, and thereafter gradually decreases as the photon energy increases, suggesting a dispersive optical response in the visible and UV regions. A refractive index value above 1 indicates the semiconducting nature of the studied material [58]. Additionally, the high  $n(\omega)$  in the ultraviolet-visible regime suggests the low transparency of  $\text{Rb}_2\text{NaIrF}_6$ , hence, high light absorption potential within this regime [47]. The  $k(\omega)$  describes the attenuation of the incident light by a material [59]. Fig. 8(b) shows that the  $k(\omega)$  curve remains low at low photon energies and begins to increase significantly above 2 eV. The peak near 6-8 eV corresponds to high absorption, in agreement with the behaviour of  $\epsilon_2(\omega)$ . The  $\alpha(\omega)$  describes the material's potential to capture photons of specific energy [70]. The  $\alpha(\omega)$  curve in Fig. 8(c) is nearly zero in the infrared and visible range (0-2 eV),

indicating transparency at low energies. However, a steep increase occurs beyond 3 eV, reaching its highest values between 6 and 10 eV, where strong absorption dominates due to interband transitions. The high absorption coefficient of  $\text{Rb}_2\text{NaIrF}_6$  makes it an efficient UV-light absorber.

The  $R(\omega)$  is an important optical parameter that describes a material's surface behaviour [70]. Fig. 5(d) shows that the  $R(\omega)$  remains relatively low at lower energies, but increases significantly at higher energies. An increase above 6 eV indicates enhanced reflection in the UV region, which is associated with high-energy electronic excitations. The increasing reflectivity at high energies suggests the potential of  $\text{Rb}_2\text{NaIrF}_6$  for applications in UV-reflective coatings [66]. The  $L(\omega)$  represents the energy dissipation of an electromagnetic wave within the material and is closely related to electron energy loss [71]. It is typically dominated by plasmon resonances and peaks where the  $\epsilon_1(\omega)$  approaches zero [71]. For  $\text{Rb}_2\text{NaIrF}_6$ ,  $L(\omega)$  is expected to exhibit prominent peaks in the UV range, particularly around the energy region where  $\epsilon_1(\omega)$  crosses zero. These peaks indicate strong energy dissipation mechanisms, which are crucial for understanding the plasmonic response of the material. Fig. 5(d) shows a low-loss window in the infrared and visible ranges, making  $\text{Rb}_2\text{NaIrF}_6$  a suitable candidate for applications requiring transparency in these regions. The high absorption in the UV range indicates strong interband transitions, which could be relevant for UV optoelectronics [72,73] and photovoltaic applications. Our optical properties corroborate those reported for other lead-free double perovskites with similar stoichiometry [74].

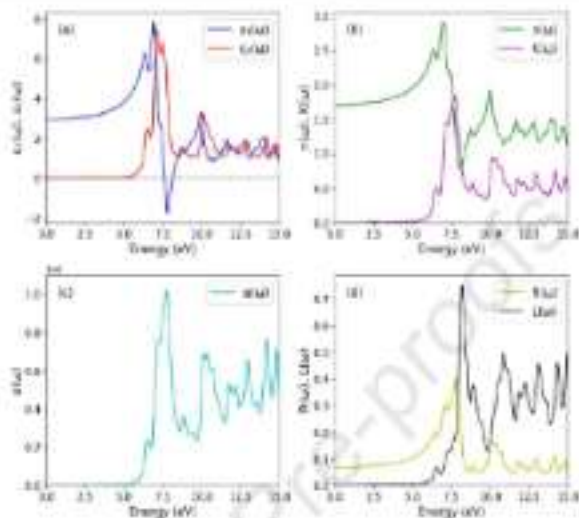


Fig. 8. The optical properties of the  $Rb_2NaIrF_6$  lead-free double perovskite compound computed using the GGA-PBE approximation. (a)  $\epsilon_1(\omega)$  and  $\epsilon_2(\omega)$ , (b)  $n(\omega)$  and  $K(\omega)$ , (c)  $\alpha(\omega)$ , and (d)  $Im(\omega)$  and  $L(\omega)$ .

### 3.6 Thermoelectric Properties

The thermoelectric properties, including the Seebeck coefficient ( $S$ ), electrical conductivity ( $\sigma$ ), and electronic thermal conductivity ( $k_{el}$ ) of  $Rb_2NaIrF_6$  across the temperature ( $T$ ) range of 200-1000 K, were computed using the BoltzTrap code as shown in Fig. 9(a-c). The  $S$  in Fig. 9(a) demonstrates negative values throughout the 200-1000 K range, implying n-type semiconductor behaviour [75]. The  $S$  increases from -241.21  $\mu V/K$  at 200 K to -174.66  $\mu V/K$  at 1000 K, a characteristic semiconductor behavior [76]. Electrical conductivity describes the ability of a material to conduct electrical current [77]. In semiconductors, the valence and conduction bands are well separated by the band gap. An increase in temperature gives electrons sufficient energy to move from the valence band to the conduction band. The mobility of free electrons contributes to the electrical conductivity of semiconductor materials [76]. Fig. 9(b) shows the effect of temperature on the electrical conductivity  $\sigma$  in the  $Rb_2NaIrF_6$  double perovskite compound. A remarkable temperature dependence of the  $\sigma$  is observed in Fig. 9(b), which increases from  $1.14 \times 10^8$  ( $\Omega m$ ) $^{-1}$  at 200 K to  $7.76 \times 10^8$  ( $\Omega m$ ) $^{-1}$  at 1000 K. This significant increase indicates thermally activated charge

transport mechanisms, likely resulting from the increased electron concentration and enhanced electron mobility at elevated temperatures. The electrical conductivity ( $\sigma$ ) is related to the charge carrier concentration ( $n$ ), according to equation 14 [58].

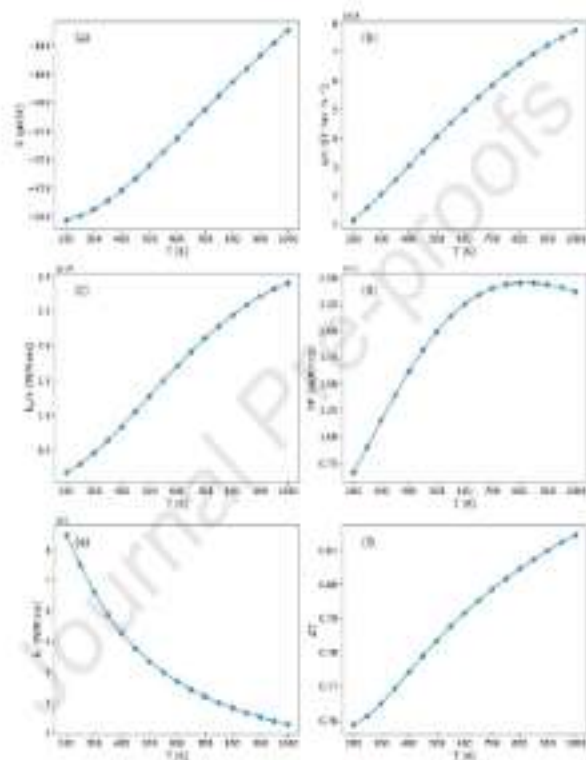
$$\sigma = ne\mu \quad (14)$$

Where  $e$  is the electron charge and  $\mu$  is the mobility of the charge carriers. Thermal conductivity constitutes the electronic ( $k_e$ ) and lattice ( $k_l$ ) thermal contributions. The  $k_e$  results from charge carrier mobility, whereas  $k_l$  results from phonons [79]. The electronic thermal conductivity  $k_{el}$  depicted in Fig. 9(c) increases from  $1.75 \times 10^{11}$  W/m-K<sup>2</sup> at 200 K to  $2.51 \times 10^{11}$  W/m-K<sup>2</sup> at 1000 K. This increase follows the Wiedemann-Franz law [80], which predicts that the electronic thermal conductivity scales with electrical conductivity and temperature. The electronic thermal conductivity was lower than the electrical conductivity, suggesting the high thermoelectric performance of the  $\text{Rb}_2\text{NaIrF}_6$  material. The increasing electronic contribution to the thermal transport becomes increasingly dominant at higher temperatures. The power factor  $PF$  ( $S^2\sigma$ ) [73] shown in Fig. 9(d) increases from  $5.62 \times 10^{10}$  W/m-K<sup>2</sup> at 200 K to a maximum of  $2.45 \times 10^{11}$  W/m-K<sup>2</sup> at 800 K before slightly decreasing to  $2.37 \times 10^{11}$  W/m-K<sup>2</sup> at 1000 K. This trend identifies an optimal operating temperature window of approximately 800-860 K, where the tradeoff between increasing Seebeck coefficient and electrical conductivity yields the maximum  $PF$ . Because the lattice vibrations do not fall within the scope of the BoltzTrap algorithm, the lattice thermal conductivity  $k_l$  was computed using the phonopy module [81] alongside Quantum Espresso software [33]. Fig. 9(e) shows that  $k_l$  decreases from  $8.50 \times 10^9$  W/m-K at 200 K to  $2.26 \times 10^9$  W/m-K at 1000 K. This significant decrease results from the enhanced phonon-phonon scattering at elevated temperatures [82]. Lattice thermal conduction is significantly lower compared to electronic thermal conduction. The low lattice thermal conductivity at high temperatures is particularly beneficial for thermoelectric performance because it helps maintain the temperature gradients necessary for efficient thermoelectric conversion. The thermoelectric figure of merit,  $ZT$ , was calculated from the computed thermoelectric properties according to equation (15) [83].

$$ZT = \frac{S^2\sigma T}{\kappa_{el} + \kappa_l} \quad (15)$$

Fig. 9(f) shows a steady improvement of  $ZT$  across the temperature range, increasing from 0.76 at 200 K to 0.81 at 1000 K. Notably,  $ZT$  exceeds 0.80 from 750 K onward, reaching a peak value of 0.81 at 1000 K. The continuous improvement in  $ZT$  demonstrates how increasing the Seebeck coefficient and electrical conductivity, and decreasing the lattice thermal conductivity, favourably impact the overall thermoelectric performance at higher temperatures. The relatively high and stable  $ZT$  values throughout the operating temperature range 750 K to 1000 K suggest that  $\text{Rb}_2\text{NaIrF}_6$  is a promising candidate for mid- and high-temperature thermoelectric

applications. These applications include industrial waste heat recovery systems operating at high temperatures, high-temperature solar thermal energy conversion, and power generation in extreme environments [84]. The trend of the thermoelectric properties obtained in this work closely matches that reported in the literature [85] for all-inorganic lead-free double perovskites.



**Fig. 9.** The thermoelectric properties of the  $\text{Rb}_2\text{NiInF}_8$  lead-free double perovskite compound. (a) Seebeck coefficient ( $S$ ), (b) Electrical conductivity ( $\sigma$ ), (c) Electronic thermal conductivity ( $\kappa_e$ ), (d) Power factor ( $PF$ ), (e) Lattice thermal conductivity ( $\kappa_l$ ), and (f) figure of merit ( $ZT$ ).

#### 4. Conclusion

In summary, the structural, electronic, elastic, thermodynamic, optical, and thermoelectric properties of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound were studied using first-principles methods. Our structural calculations proved the  $\text{Rb}_2\text{NaIrF}_6$  compounds' structural stability by a Goldschmidt tolerance and the octahedral factors of 0.99 and 0.64, respectively. Thermodynamic stability was established by negative formation energies of -1.67 Ry and positive frequency modes of the phonon dispersion curves. The  $\text{Rb}_2\text{NaIrF}_6$  compound exhibits a semiconducting nature with band gaps ranging from 2.14 to 3.76 eV, as determined by various computational methods and machine learning tools. The valence band was found to result from the hybridization of the Ir3d, Ir2p, and F2p orbitals, whereas the conduction band was found to form as a result of the hybridization of the Rb2s, Rb4p, Na2s, Ir5s, Ir2p, Ir3d, and F2p orbitals. The Born-Huang criterion proved the mechanical stability. The mechanical properties confirmed the ductile, ionic, and anisotropic nature of the  $\text{Rb}_2\text{NaIrF}_6$  compound. The optical properties revealed high absorption coefficients in the ultraviolet (UV) region (8-10 eV), suggesting the suitability of the  $\text{Rb}_2\text{NaIrF}_6$  compound for UV optoelectronic device applications. In addition, the high thermoelectric figure of merit (0.81 at  $T > 980$  K) proves that the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound is an excellent thermoelectric material for heat-to-electrical energy conversion. The limitation of the  $\text{Rb}_2\text{NaIrF}_6$  compound is the poor absorption of photons in the visible light region, which hinders its application as an absorber in energy devices, such as solar cells. Future research studies should explore the effects of doping as a strategy to tune the optoelectronic properties of the  $\text{Rb}_2\text{NaIrF}_6$  compound. Overall, this study provides a theoretical basis for the potential applications of  $\text{Rb}_2\text{NaIrF}_6$  in optoelectronics and thermoelectrics, as well as new guidance for the future synthesis and determination of lattice parameters, band gaps, and absorption behaviour using experiments.

#### Declaration of Competing Interest

The authors declare no known competing interests that may have influenced this work.

#### Credit Authorship Contribution Statement

**Mwende Mollo:** Funding acquisition, Project administration, Conceptualization, Methodology, Investigation, Formal analysis, Data curation, Validation, Writing – review and editing. **Robinson Musembi:** Computational resources, Methodology, Investigation, Formal analysis, Data curation, Validation, Writing – review and editing. **John Peter Kachira:** Methodology, Investigation, Formal analysis, Data curation, Writing – review and editing. **Wiley Nyangaa Omsala:** Methodology, Investigation, Formal analysis, Data curation, Writing – review and editing. **Fanuel Mugwanga Keteke:** Methodology, Investigation, Formal analysis, Data curation, Writing – review and editing. **Reflimo Edwin Mapelele:** Computational resources, Methodology, Investigation, Formal analysis, Data curation, Writing – review and editing.

## Data Availability

Data will be provided upon reasonable request.

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- GGA predicts structural, thermodynamic, and mechanical stability of Rb<sub>2</sub>NaInF<sub>7</sub>.
- Band gap ranges from 2.14 to 3.76 eV using various DFT approximations.
- Strong optical absorption in the 6–10 eV range indicates UV absorber potential.
- Lead-free Rb<sub>2</sub>NaInF<sub>7</sub> shows a high ZT value of 0.53 above 900 K.

### Insights into the Optoelectronic and Thermoelectric Properties of Lead-Free Rb<sub>2</sub>NaInF<sub>7</sub> Double Perovskite: A First-Principles Study

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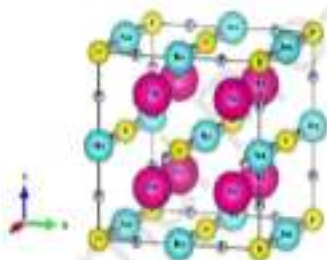


Fig. 1. Crystal structure of the  $\text{Nb}_2\text{NiAlF}_8$  lead-free double perovskite compound.

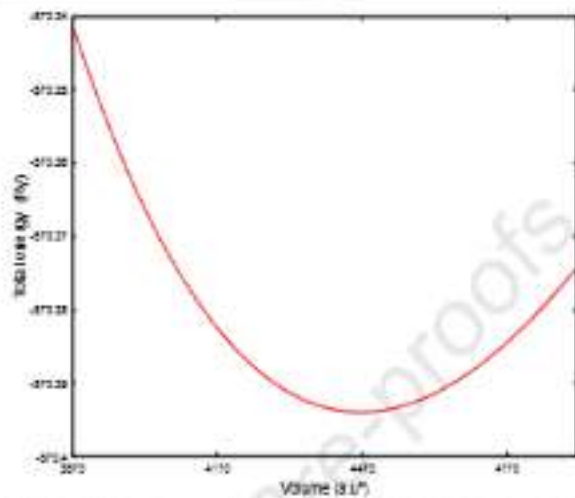


Fig. 2. Birch-Murnaghan equation of state fit plots of the  $\text{Rb}_2\text{KAlF}_6$  lead-free double perovskite compound.

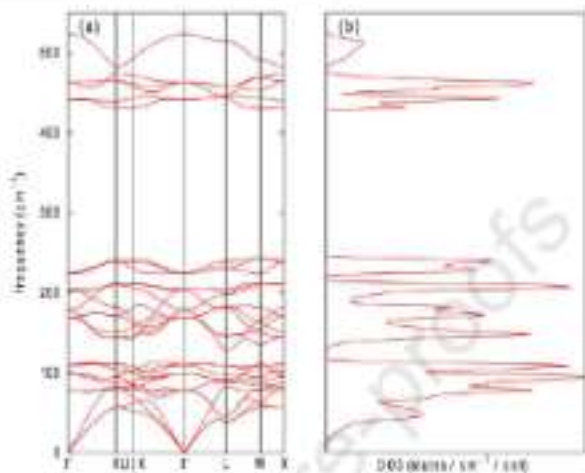


Fig. 3. GGA-PBE (a) phonon dispersion and (b) density of states curves of Nd<sub>2</sub>NiTi<sub>7</sub>, lead-free double perovskite compound.

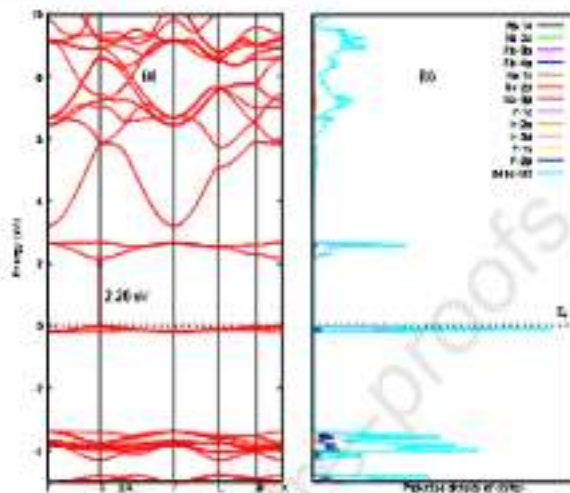


Fig. 4. The GGA-PBE (a) electronic band structure and (b) projected density of states of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound.

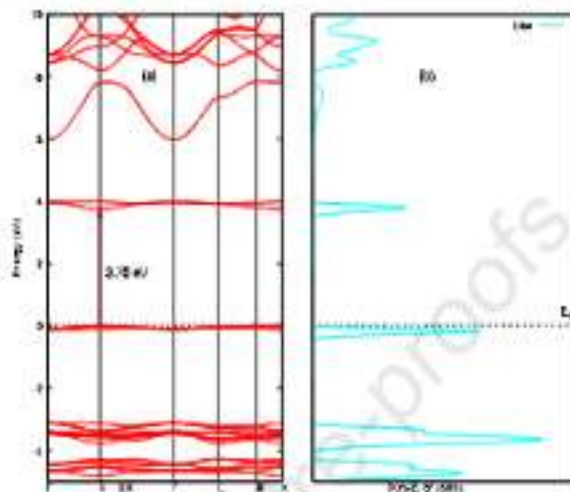
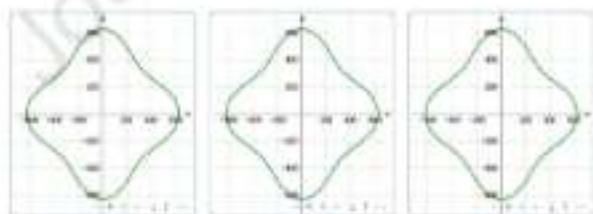


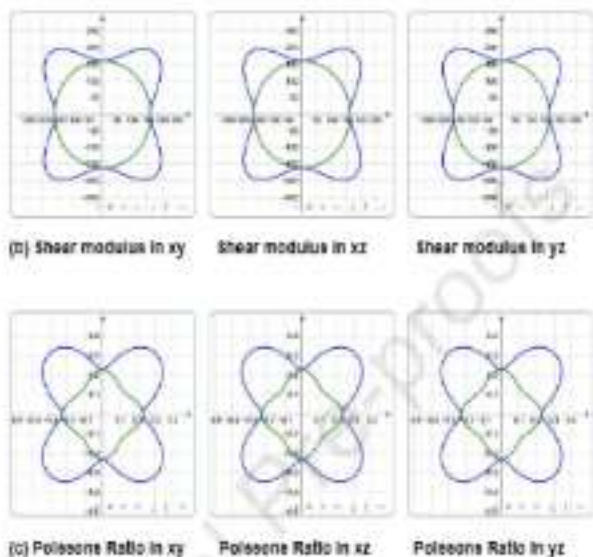
Fig. 5. The TB-mBJ (a) electronic band structure and (b) density of states of the  $\text{Pb}_{0.95}\text{Ni}_{0.05}\text{Te}_{0.95}\text{Se}_{0.05}$  lead-free double perovskite compound.



(a) Young's modulus in xy

Young's modulus in xz

Young's modulus in yz



**Fig. 6.** Two-dimensional spatial dependence maps of (a) Young's modulus, (b) shear modulus, and (c) Poisson's ratio of the  $\text{Rb}_2\text{V}_2\text{O}_7$  lead-free double perovskite compound.

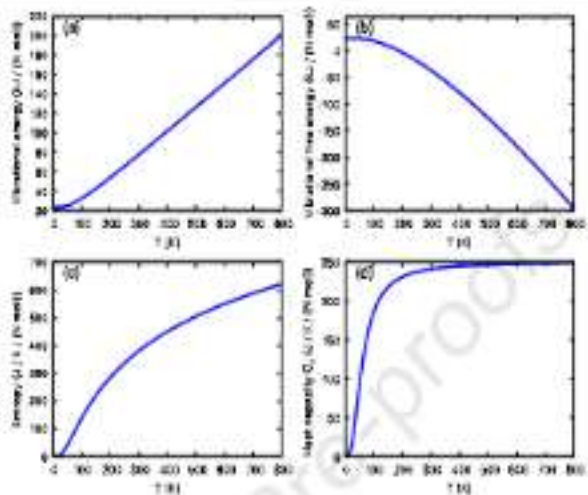


Fig. 7. Thermodynamic properties of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound: (a) vibrational energy, (b) vibrational free energy, (c) entropy, and (d) heat capacity as a function of temperature.

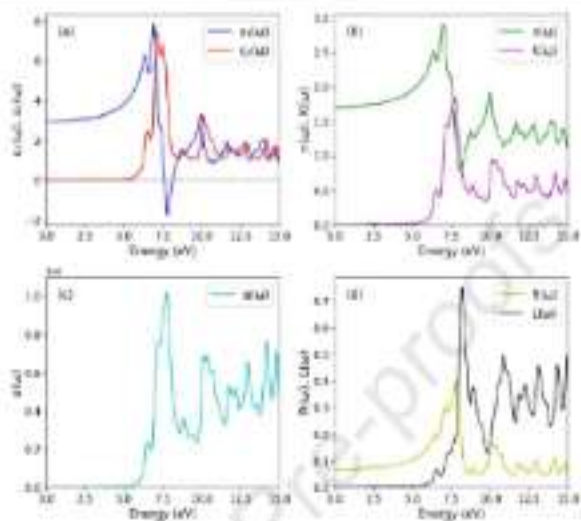
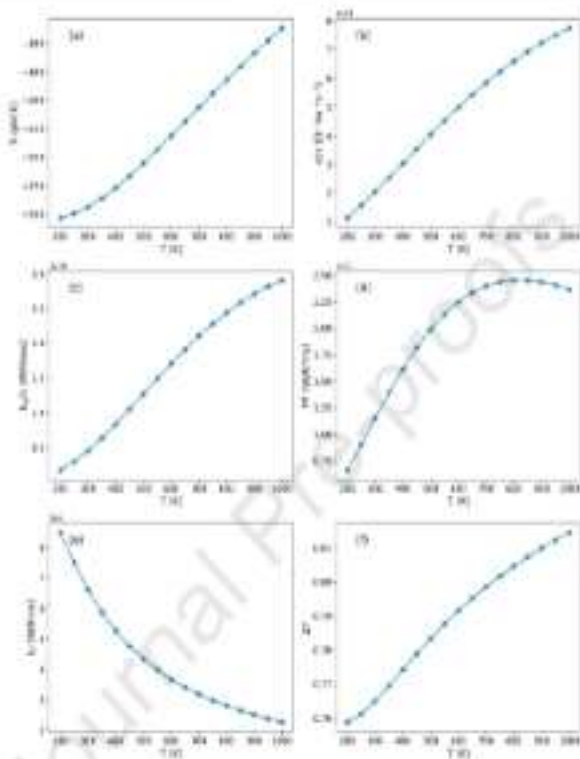


Fig. 8. The optical properties of the  $RT_2Na_1P_6$  lead-free double perovskite compound computed using the GGA-PBE approximation. (a)  $\epsilon_1(\omega)$  and  $\epsilon_2(\omega)$ , (b)  $n(\omega)$  and  $K(\omega)$ , (c)  $\alpha(\omega)$ , and (d)  $Re(-L(\omega))$  and  $Im(-L(\omega))$ .



**Fig. 5.** The thermoelectric properties of the  $\text{Rb}_2\text{LiAlF}_6$  lead-free double perovskite compound. (a) Seebeck coefficient ( $S$ ), (b) Electrical conductivity ( $\sigma$ ), (c) Electronic thermal conductivity ( $k_e$ ), (d) Power factor (PF), (e) Lattice thermal conductivity ( $\kappa_l$ ), and (f) Figure of merit (ZT).

## Insights into the Optoelectronic and Thermoelectric Properties of Lead-Free $\text{Rb}_2\text{NaInF}_6$ Double Perovskite: A First-Principles Study

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Table 1. Structural properties of  $\text{Rb}_2\text{NaInF}_6$  lead-free double perovskite compound.

	GGA-PBE	Other works [45]
Equilibrium Energy $E_0$ (Ry)	-570.39	
Lattice parameter $a_0$ (Å)	16.45	16.44
Equilibrium volume $V_0$ (Å <sup>3</sup> )	4451.54	4435.15
$\tau_c$	0.99	
$\mu$	0.54	
Energy of formation $\Delta H_f$ (Ry)	-1.57	

**Table 2.** The electronic band gaps of the  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound calculated using different approximations.

Methods	Band gaps (eV)
GGA-PBE	2.20
GGA-WC	2.14
R2SCAN	2.78
TB-mBJ	3.78
ML	2.33
Other works [34]	2.40

**Table 3.** Elastic tensor ( $C_{ij}$ ) in GPa for the  $\text{Rb}_2\text{NaIrF}_6$  cubic crystal structure.

	$C_{11}$	$C_{12}$	$C_{44}$
GGA-PBE	11.36	21.97	15.95

**Table 4.** Computed mechanical properties: bulk modulus  $B$ , Young's modulus  $E$ , Shear modulus  $G$ , Pugh's ratio  $B/G$ , Poisson's ratio  $\nu$ , Anisotropy factor  $A$ , Debye velocity  $V_m$ , and Debye temperature  $\theta_D$  of  $\text{Rb}_2\text{NaIrF}_6$  lead-free double perovskite compound.

	$B$	$E$	$G$	$B/G$	$\nu$	$A$	$V_m(\text{m/s})$	$\theta_D(\text{K})$
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GGA-PBE 38.43 48.97 19.02 2.02 0.28 0.65 2158.45 252.47

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